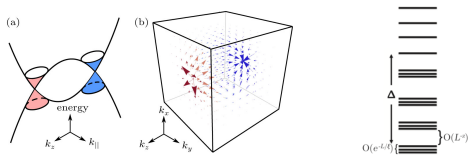


# Gapless topological states: an overview

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# What is a gapless topological state?

This term has all the ambiguities of ‘topological state’ and more!

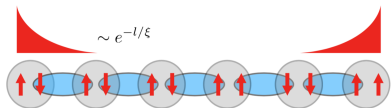
Generous definition of ‘topological state’: a state of matter which cannot be characterized by a local order parameter.

Outline: possible interpretations of the title.

1. Gapless states with a boundary anomaly
2. Gapless states with fractional quasiparticles
3. Gapless states with a bulk anomaly
4. Gapless states with topological order

# Definition 1: States with a boundary anomaly

- Gapless SPTs
- Critical points of SPTs



# Gapless SPTs

Surely incomplete references: [Cheng-Tu 2011, Grover-Vishwanath 2012, Keselman-Berg, Baum-Posske-Fulga-Trauzettel-Stern, Parker-Scaffidi-Vasseur, Ruhman-Altman, Jiang-Li-Seidel-DH Lee, Verresen-Jones-Pollmann-Thorngren, Ji-Shao-XGW 2019]

- ▶ Some can be made with free fermions.  
[Verresen-Jones-Pollmann 2017] identify a topological bandstructure index whose nonvanishing guarantees exponentially-localized edge modes.
- ▶ Some require interactions.  
Many new kinds of Luttinger liquids.  
[Cheng-Tu 2011, Keselman-Berg 2015, Ruhman-Altman, Jiang-Li-Seidel-DH Lee 2017]  
Basic strategy: spin-charge separation. Spins form SPT, charges are gapless.
- ▶ Understanding of exp'l localization in terms of bulk single-particle gap  
[Grover-Vishwanath 2012, Keselman-Berg 2015].

# Example

Spin-1 chain

$$H = +J_b \sum_i \vec{S}_i \cdot \vec{S}_{i+1} + D_b \sum (S_i^z)^2 \text{ has}$$

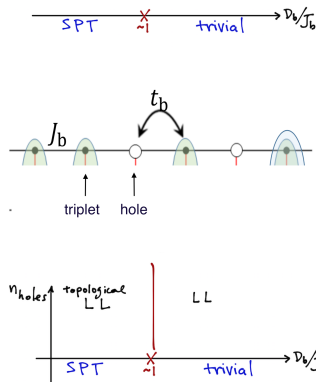
Haldane phase.

Dope it!  $\mathbf{H} = -t_b \sum_{i\sigma} b_{i\sigma}^\dagger b_{i+i\sigma} + h.c. +$   
 $J_b \sum_i \vec{S}_i \cdot \vec{S}_{i+1} + D_b \sum (S_i^z)^2.$

Topological Luttinger Liquid should have spin-half edge states.

[Jiang-Li-Seidel-D-H Lee 2017]

How to tell?



# Sharply defined labels for gapless SPTs

Some diagnostics familiar from gapped SPTs work surprisingly well.

- ▶ Strange correlator [You-Bi-Rasmussen-Slagle-Xu 2013]:

Make an edge in euclidean spacetime between trivial and nontrivial:

$Z_{\text{strange}} = \langle \Psi_{\text{trivial}} | \Psi_{\text{SPT}} \rangle = \sum_{\sigma, \tau} e^{i\theta(\sigma, \tau)} = \sum_{\text{dw}} n^{N(\text{dw})} x^{L(\text{dw})}$  is determined by edge CFT (plus bulk data). [Scaffidi-Parker-Vasseur 2017]

gapped:  $x^{-1} = \sqrt{2}, n = 2, c = 1$

gapless:  $x^{-1} \rightarrow 0, n = 2, c = 2$ .

- ▶ Nonlocal order parameters [Marvian 2013 (gapped case),

Verresen-Thorngren-Jones-Pollmann 2019] :

For unitary, abelian  $G$ : in 1d, string operators.

'symmetry flux'  $s_{kl} = \text{tr} \rho X_k \prod_{i \in C} U_{\tau}(i) Y_l$

acts as a symmetry in region  $C$  separating  $A, B$ ,

$X_k$  acts on  $A$ ,  $Y_l$  acts on  $B$ .

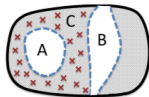
- ▶ QDL diagnostic [Ben-Zion, Grover, JM, to appear] :



d=1:

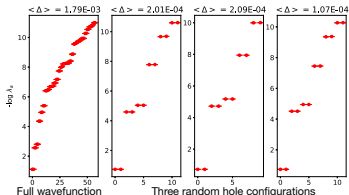


d=2:



Measure the gapless component. The remaining wavefunction behaves like an ordinary gapped SPT wavefunction.

In the topological Luttinger liquid phase, measure charge:  $\rightarrow$



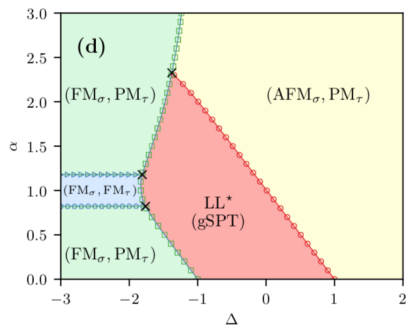
# Construction by decorating domain walls

[gapped: Chen-Lu-Vishwanath 2013, gapless: Parker-Scaffidi-Vasseur 2017-2018]

Start with a gapless non-topological phase (eg XXZ, with  $U(1)$  (to protect gapless bulk) and  $\mathbb{Z}_2 \times \mathbb{Z}_2$  (to protect SPTness).)

$$H_{\text{gSPT}} = U(H_{\text{gTrivial}}(\sigma) - \sum_{i \text{ even}} \tau_i^x)U^{-1},$$
$$U \equiv \otimes_{dw(\sigma)_i} (-1)^{(1-\tau_i^z)/2}.$$

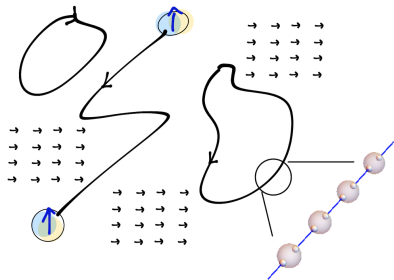
This produces a stable phase in which an open chain has 2-fold degeneracy (not 4).



Known-to-me 2d examples are gapless by tuning density of decorated domain walls to a critical point. But surely  $\exists$  2+1d fixed points with no symmetric relevant operators for some  $G$ .

## Definition 2: Gapless states with fractional quasiparticles

- Gapless SETs (symmetry-enriched gapless spin liquids)
- Gapless fracton states



# Symmetry-enriched 3d U(1) spin liquids

[Wang-Senthil, 2013, 2016, Zou-Wang-Senthil 2018]

Gapless emergent photon, symmetry-protected edge states (sometimes).

So far: assuming gapped E and M excitations, classifications for various G.

Strategy: starting with a U(1) spin liquid with gapped charges, put one of the charges in an SPT phase. EM duality  $\implies$  not all different.

Or: starting with a U(1)  $\times$  G SPT, gauge the U(1).

Here, too, solvable models can be made by

decorating electric flux lines.

$H = UH_0U^{-1}$  where

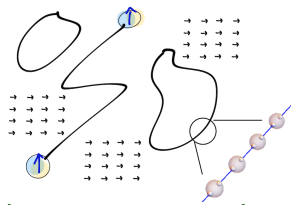
$$H_0 = -\sum_j X_j - \sum_j (\Delta n)_j^2 - \sum_{\square} \cos(\Delta \times a)_{\square}$$

U(1) string nets plus decoupled qubits

$$U = \otimes_{\ell} C_{n_{\ell}} U_{\ell} \equiv \sum_{\{n_{\ell}\}} |\{n_{\ell}\}\rangle \langle \{n_{\ell}\}| \otimes U_{\ell}^{n_{\ell}},$$

$U_{\langle ij \rangle} \equiv \mathbf{CZ}_{ij}$  Electric charges are Kramers' doublets

( $E_{bT} M_b$  phase).



[like Ben-Zion, Das, JM 2015]

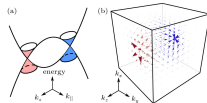
Lots more to do here: realization in pyrochlore spin ice ( $\text{Yb}_2\text{Ti}_2\text{O}_7$ ),

lattice symmetries, other gauge groups, gapless charged matter, decorate string defects? Can we decorate a Fermi liquid?

# Definition 3: Gapless states with (bulk) 't Hooft anomalies

Here the idea is that topology protects the gaplessness from small perturbations.

- edge theories of SPTs [Max's talk]
- many familiar CFTs
- topological semimetals and superconductors
- some much more prosaic states.



[Image: Lu Hai-Zhou, Shen Shun-Qing]

# Anomalies in 2d CFT

Many AF spin chains  $H = J \sum_i \vec{S}_i \cdot \vec{S}_{i+1} + \dots$  can be described using non-Abelian bosonization  $S = -\frac{1}{\lambda} \int_{\Sigma} d^2x \text{tr} g^{-1} \partial_{\mu} g g^{-1} \partial^{\mu} g + k \Gamma_{\text{wz}} + \dots$ .

$k \in \mathbb{Z}$  in order that  $\Gamma_{\text{wz}} = \frac{1}{24\pi^2} \int_{B, \partial B = \Sigma} \epsilon^{\mu\nu\rho} \text{tr} g^{-1} \partial_{\mu} g g^{-1} \partial_{\nu} g g^{-1} \partial_{\rho} g$  is well-defined.  $k = 2S$ .



[Furuya-Oshikawa 2015]: a mixed anomaly between  $\text{SU}(2)$ , translations and  $\mathbb{Z}_2 : g \rightarrow -g$  forbids gauging the  $\mathbb{Z}_2$  for odd-half-integer spin chains. Anomaly diagnosed by failure of modular invariance of the resulting orbifold CFT.

This anomaly is conserved under RG, and prevents changing the parity of the level  $k$  (measurable by Raman scattering).

$\exists$  many examples in the string theory literature of such forbidden orbifolds. Failure of mutual locality of the operator algebra of the would-be orbifold CFT is a more stringent criterion.

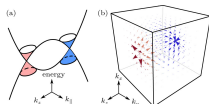
General coherent story for rational CFT [Meng Cheng, Williamson, to appear]: a formula for the anomaly in terms of CFT data.

# Weyl semimetal [reviews: Turner-Vishwanath 2013, Armitage-Mele-Vishwanath 2018]

Weyl nodes can only\* disappear by annihilating each other [Horava].

Consequence: surface Fermi arcs.

Edge states at  $k \neq k_{FS}$  can't mix.



[Image: Lu Hai-Zhou, Shen Shun-Qing]

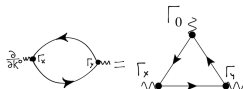
Definition away from free fermions: [Witczak-Krempa-Knap-Abanin 2014] in terms of Berry flux of  $H_t(\vec{k}) \equiv G(\omega = 0, \vec{k})^{-1} \equiv H_0(\vec{k}) + \Sigma(\omega = 0, \vec{k})$ .

Bulk (chiral, ABJ) anomaly manifests in transport:

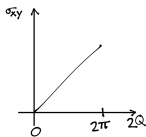
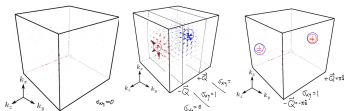
$$\sigma_{xy} \stackrel{\text{Kubo}}{\equiv} \partial_\omega \Pi_{xy} |_{\omega \rightarrow 0} = \frac{e^2}{2\pi h} \int d\vec{k}_z C_z(k_z)$$

Using Ward identity:  $\Gamma_\mu = \partial_\mu G^{-1}$

$$C_z(k_z) = \frac{\pi}{3} \int d\vec{k}_{x,y,0} \epsilon_{\mu\nu\rho z} \text{tr} G \partial_\mu G^{-1} G \partial_\nu G^{-1} G \partial_\rho G^{-1}$$



$\Rightarrow$  Weyl SM is an intermediate between trivial insulator and layered Chern insulator.



# Weyl semimetal

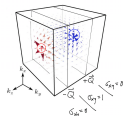
More on chiral anomaly: Translations in the direction separating the Weyl nodes act by chiral rotation:

$$\psi_{L/R} \rightarrow e^{\pm iQ} \psi_{L/R}$$

[Wang-Gioia-Burkov 2019]  $z \equiv \mathbb{Z}$  gauge field for translations [Else-Thorngren],

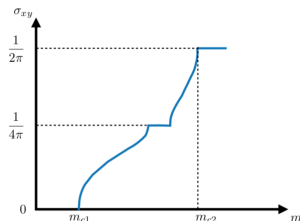
$$S[z, A] \ni 2\pi\nu \int_{5d} z \cup F \wedge F$$

A new ingredient:  $\nu$  is not quantized! A state can be 'a little bit anomalous'. Not protected if  $v_F Q \sim \Delta H$ .



\* There is a gapped (FQHE) state which saturates this anomaly.

Break U(1) by superconducting pairing, try to restore U(1) by proliferating vortices, only charge-4 vortex line is featureless.



( $m$  = magnetization, determines  $\vec{Q}$ )

[Wang-Gioia-Burkov]

# LSM anomaly

Any time a gapless state is the resolution of an LSM alternative.  
For example: electrons at fractional filling  $\nu$  with lattice translation symmetry and charge conservation.

[Senthil, Song-Vishwanath-Wang-He]  $S[z, A] \ni 2\pi\nu \int_{5d} z^{(x)} \cup z^{(y)} \dots \wedge F$

Could be a Fermi liquid.

# Definition 4: Gapless topological order

Topological order  $\equiv$  a collection of (approximate) groundstates which can't be distinguished by local operators:

$$\langle a | \mathcal{O}_{\text{local}}(x) | b \rangle \sim e^{-L^\alpha > 0}$$



[Image: Bonderson-Nayak]

Topological splitting  $e^{-L^\alpha > 0} \ll \frac{1}{L^z > 0}$  gapless splitting

# Gapless topological order

[Senthil-Fisher, 2000, Barkeshli-JM, 2012 (unpublished), Bonderson-Nayak 2013]

Find the spectrum on  $T^d$ .

A problem in gauge theory in finite volume. [XGW-Niu 1993,

Shenker et al 2012]

Examples:

- Laughlin FQH state coupled to phonons. ✓
- Laughlin FQH state coupled to 3d photon has  $\Delta \sim \alpha L^{-1}$  [Bonderson-Nayak].
- HLR state.  $\Psi_e = fb$ ,  $b$  in  $\nu = 1/q$  Laughlin state.

$$L_{\text{eff}}(a, \tilde{a}, f) = -\frac{q}{4\pi} \tilde{a} \partial \tilde{a} + \frac{1}{2\pi} a \partial \tilde{a} + L_m(f, a)$$

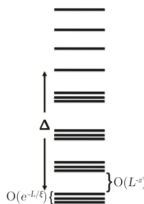
$$\text{Zero modes: } X \equiv \oint_{C_x} a, Y = \oint_{C_y} a$$

$$S = -2\pi q \tilde{X}_i \partial_t \tilde{X}_j \epsilon_{ij} + 2\pi X_i \partial_t \tilde{X}_j \epsilon_{ij} + \underbrace{S[\chi_0, X]}_{\text{lifts } X} \rightarrow -2\pi q \tilde{X}_i \partial_t \tilde{X}_j \epsilon_{ij}.$$

$\rightsquigarrow$   $q$ -fold exp'l degeneracy.

- HLR\* state: put  $b$  in a *nonabelian* incompressible  $\nu = 1/2$  state (eg the orbifold state [Barkeshli-XGW 2010]).

Proposal [MB]: lift all degeneracies associated with the fusion channels of gapless anyons. Anyons with trivial braiding with those will still produce exponential splitting.



## Honorable mention

- ▶ [Simon-Rezayi-Cooper 2007] Haffnian, Gaffnian... FQH wavefunctions based on non-unitary or irrational CFT
- ▶ [Fisher-Levin 2009] 3d FQH state
- ▶ [Freedman-Hastings-Nayak-Qi 2011] “Weakly coupled non-Abelian anyons in three dimensions”
- ▶ [Fitzpatrick-Kachru-Kaplan-Katz-Wacker 2012]  $z = 2$  theory of anyons

The end.

Conclusion: lots to do!

Thanks for listening.